

# FACTSHEET

## complias Country Factsheet<sup>®</sup>

### Current environment for the provision of financial services

Providing cross-border financial services is becoming more and more complicated.

Quickly changing and increasing regulations are a challenge to maintaining the overview and behaving in compliance with all applicable legal directives.

Nevertheless breaches of rules may cause negative consequences to the employee and to the financial intermediary.

Therefore, it is of fundamental importance for every company to provide their employees with the right tools and information which enable them to avoid infringements.

### Content

The **complias Country Factsheet<sup>®</sup>** provides an overview of the supervising authorities responsible for the banking, investment and insurance activities in a particular country and introduces the concrete legal framework of the above mentioned industries.

It offers to banks and to other financial intermediaries a user-friendly and efficient tool, which allows a quick and extensive orientation in the fundamental legal regulation and a compliant behavior in the exercise of the business activity.

Direct links to the homepages of the aforementioned authorities provide the Country Factsheet users with advanced information.

### Characteristics

- global overview of the most important country-specific regulations
- user-friendly, concise, simply formulated explanations
- unitary structure and language (English)

### Topics

Together with the global overview of the responsible supervising authorities and the applicable legal framework, concrete indications on:

- permitted and not permitted marketing activities
- allowed marketing channels / communication (e.g. telephone/facsimile/internet)
- handling with potential respectively existing clients' data
- Permitted ways of contacting potential and existing clients
- country specific explanations regarding e.g. "cold calling", "spamming" and indications of particular regulations
- links to the homepages of the appropriate authorities

### Geographical coverage, delivery, prices

- factsheet are always updated before delivery
- delivery of the complias Country Factsheets<sup>®</sup> in electronic or printed form
- price CHF 400 per country, exclusive of VAT

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### Countries covered as of 2009

|                |                          |
|----------------|--------------------------|
| Argentina      | Kazakhstan               |
| Australia      | Latvia                   |
| Austria        | Lithuania                |
| Azerbaijan     | Luxembourg               |
| Belgium        | Malta                    |
| Brazil         | Mexico                   |
| Bulgaria       | Monaco                   |
| Canada         | Netherlands              |
| Chile          | Norway                   |
| China          | Poland                   |
| Croatia        | Portugal                 |
| Cyprus         | Romania                  |
| Czech Republic | Russia                   |
| Denmark        | Singapore                |
| Dubai (U.A.E.) | Slovakia                 |
| Estonia        | Slovenia                 |
| Finland        | South Africa             |
| France         | Spain                    |
| Germany        | Sweden                   |
| Greece         | Thailand                 |
| Hong Kong      | Turkey                   |
| Hungary        | Ukraine                  |
| Ireland        | United Kingdom           |
| Italy          | United States of America |
| Japan          |                          |